

Export Documentary Collection Update User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export Documentary Collection Update User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export Documentary Collection Update

Export Documentary collection Update process involves update of an existing documentary collection under Export LC.

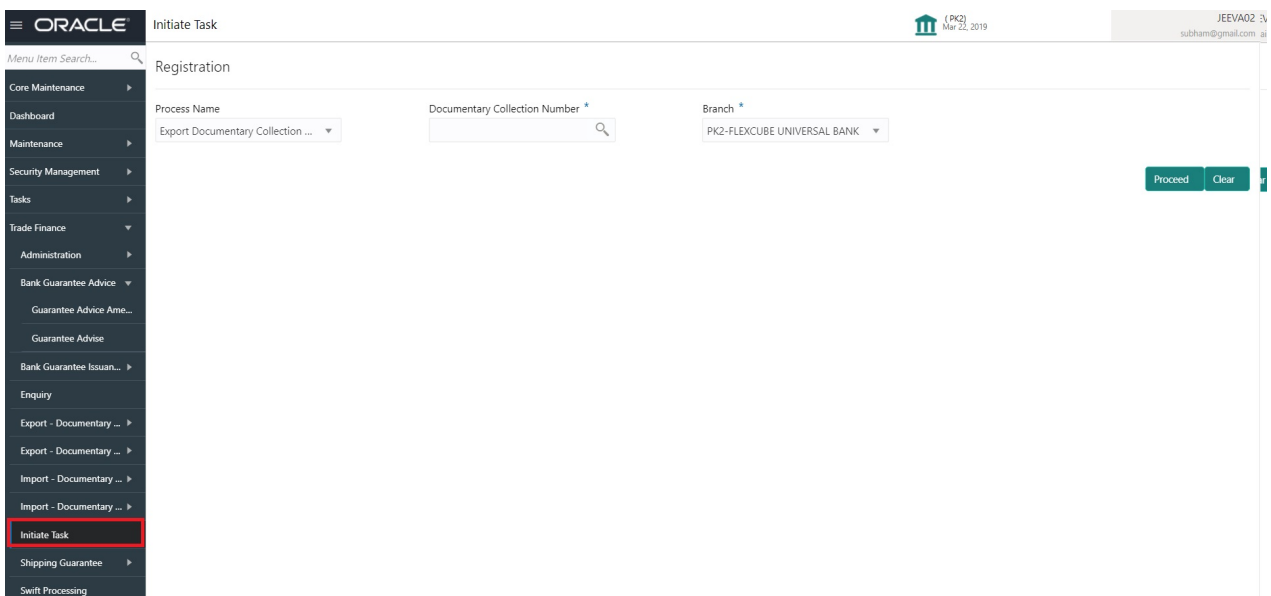
This section contains the following topics:

Common Initiation Stage	Reject Approval
Registration	Document Linkage
Exceptions	Multi Level Approval

Common Initiation Stage

The user can initiate the new export documentary collection update request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Documentary Collection Number	Select the Documentary Collection Number.
Branch	Select the branch.

Action Buttons

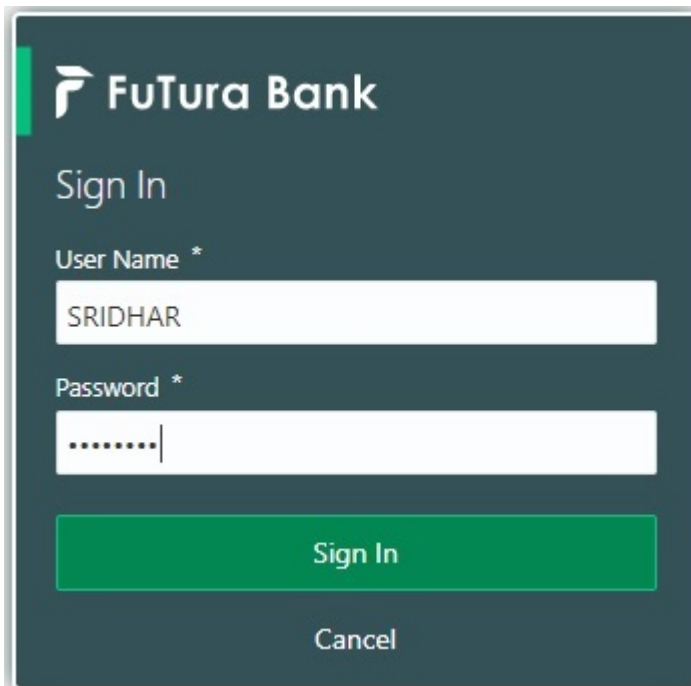
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

The process starts from Registration stage, on registering the task in OBTFPM, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

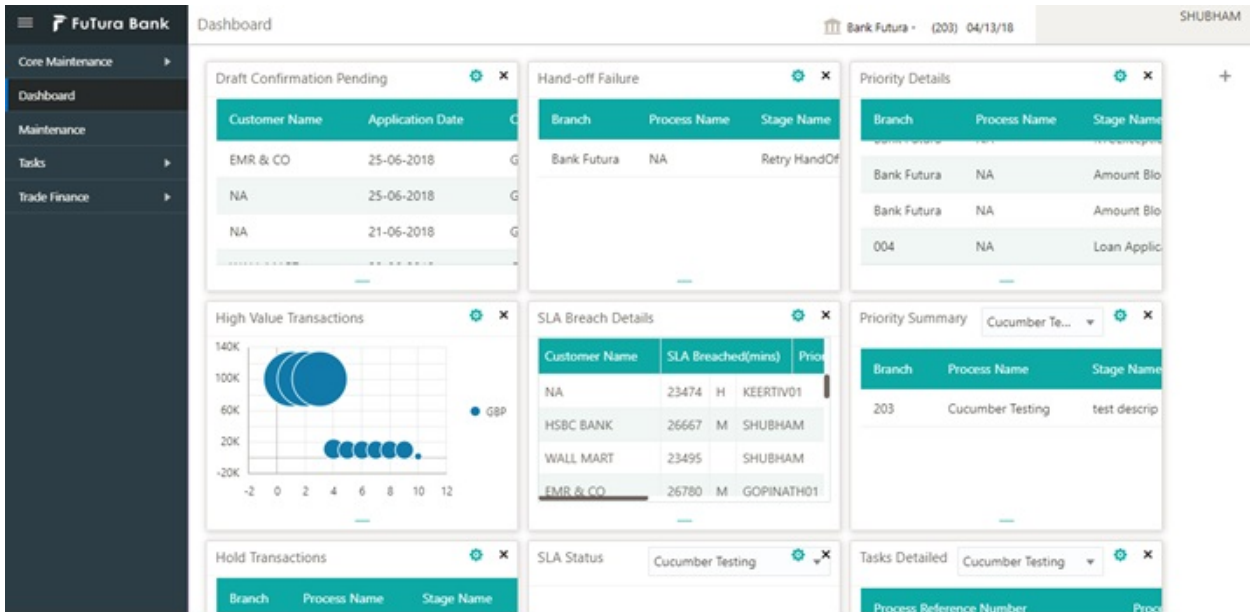
Password *

.....

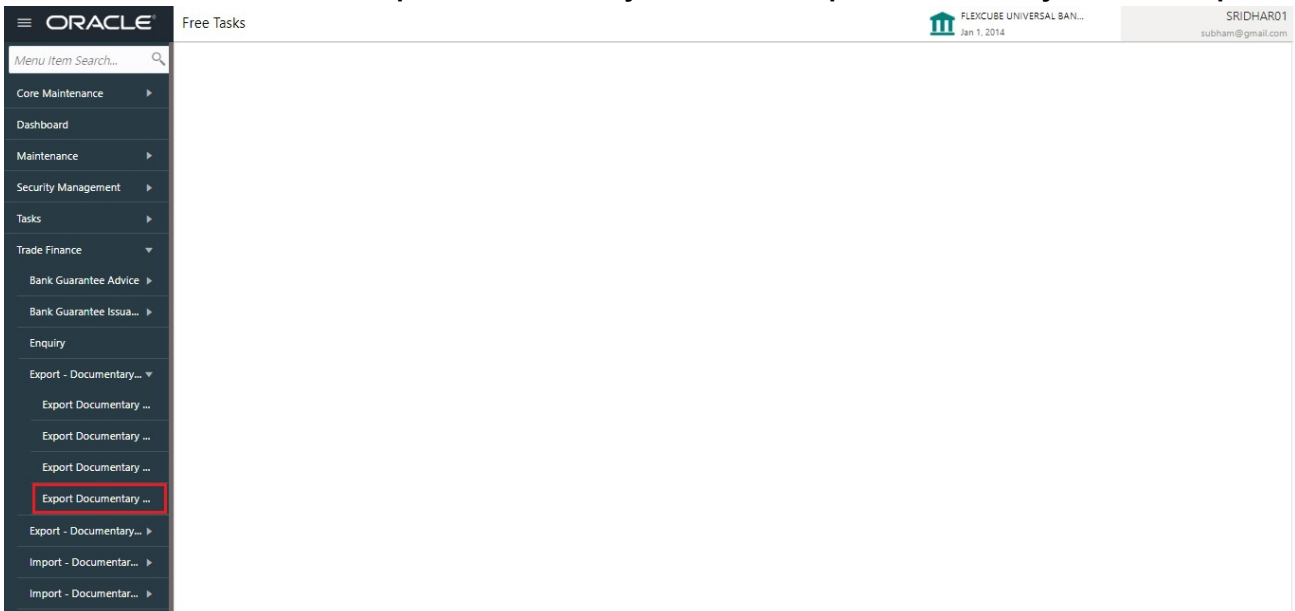
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profiles.



3. Click Trade Finance> Export - Documentary Collection> Export Documentary Collection Update.



The registration stage has two sections Application Details and Collection Details. Let's look at the details of registration screens below:

Application Details

Export Documentary Collection Update Booking
Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

Documentary Collection Number * 032EUNA232142503	Drawer * 032204 Air Arabia	Branch 032-Oracle Banking Trade Finan...	Bill Amount * AED AED 100,000.00
Amount In Local Currency AED AED 100,000.00	Process Reference Number 032EDCU000001596	Customer Reference Number	Priority Medium
Submission Mode Desk	Update Date * Aug 3, 2023	Version Number 1	


Collection Details

Documents Received First	Tenor Type Usance	Product Code EUNA	Product Description C/UTGOING DOCUMENTARY USANCE E
Operation Type ACC	Stage Final	Contract Reference Number 032EUNA232142503	User Reference Number 032EDCB000001016
Drawee 032106 BENE1	Outstanding Bill Amount * AED AED 100,000.00	Collecting Bank 032312 MASHREQ BA	Collecting Bank Reference Number NONE
Finance Amount AED	Avalization/Co-Acceptance Requested	Rebate Amount AED AED 0.00	Limit verification required
Customer Dispatch		Avalized/Co-Accepted	

View Collection Events

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	<p>Provide the documentary collection reference. Alternatively, user can search the documentary collection reference using LOV.</p> <p>In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.</p>	
Drawer	<p>Read only field.</p> <p>Drawer ID and name will be auto-populated based on the selected Documentary Collection Reference.</p> <p>The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p> <div style="text-align: center; margin: 10px 0;">  <p>Note</p> </div> <p>If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.</p>	
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected Documentary Collection Reference.</p>	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Bill Amount	Bill amount will be auto-populated based on the selected Documentary Collection Reference. Amendment to this field is enabled only if the Stage is Initial.	
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Process Reference Number	Read only field. Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Customer Reference Number	Specify the customer reference number.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export Collection Booking Update request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Update Date	Read only field. By default, the application will display branch's current date.	04/13/2018
Version Number	Read only field. Version Number will be auto-generated.	


Collection Details



Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.



Collection Details



<p>Documents Received First <input type="text"/></p> <p>Operation Type ACC <input type="text"/></p> <p>Drawee 032106 <input type="text"/> BENE1 <input type="text"/></p> <p>Finance Amount AED <input type="text"/></p> <p>Customer Dispatch <input type="checkbox"/></p>	<p>Tenor Type Usance <input type="text"/></p> <p>Stage Final <input type="text"/></p> <p>Outstanding Bill Amount * AED <input type="text"/> AED 100,000.00</p> <p>Avalization/Co-Acceptance Requested <input type="checkbox"/></p>	<p>Product Code EUNA <input type="text"/></p> <p>Contract Reference Number 032EUNA232142503 <input type="text"/></p> <p>Collecting Bank 032312 <input type="text"/> MASHREQ_BA <input type="text"/></p> <p>Rebate Amount AED <input type="text"/> AED 0.00</p> <p>Avalized/Co-Accepted <input type="checkbox"/></p>	<p>Product Description OUTGOING DOCUMENTARY USANCE E</p> <p>User Reference Number 032EDCB000001016 <input type="text"/></p> <p>Collecting Bank Reference Number NONE <input type="text"/></p> <p>Limit verification required <input type="checkbox"/></p>
---	--	---	---

Provide the Collection Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	Documents Received will be auto-populated from the collection booking. User can change the value.	
Tenor Type	Read only field. Tenor Details will be auto-populated from the collection booking.	
Product Code	Read only field. Product Code will be auto-populated from the Documentary Collection Number.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Operation Type	Read only field. System populates the operation type. The options are: <ul style="list-style-type: none"> • COL • PUR • FOP - Free of Payment for bills booked with operation Collection or Acceptance. <div style="text-align: center; margin-top: 10px;">  <p>Note</p> <p>Change of Operation from Collection or Acceptance to Free of Payment should be enabled only for Documentary Bills.</p> </div>	

Field	Description	Sample Values
Stage	<p>Stage will be auto-populated from the collection booking. User can update the stage to final, if it is set to Initial already.</p>  <p>Note For Operation Type, FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.</p>	
Contract Reference Number	<p>Read only field. System to populate contract reference number from the back end system once the Documentary Collection Number is selected.</p>	
User Reference Number	<p>User reference number is auto-populated from the collection booking. User can change the value.</p>	
Drawee	<p>Read only field. Drawee ID will be auto-populated from the collection booking. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p>  <p>Note User can change the value of the Drawee for only those bills where Operation Type is COL.</p>	
Outstanding Bill Amount	<p>Read only field. Outstanding Bill Amount will be auto-populated from the collection booking.</p>	

Field	Description	Sample Values
Collecting Bank	<p>Read only field.</p> <p>Collecting Bank Name will be auto-populated from the collection booking.</p>  <p>Note</p> <p>In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <p>The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p>  <p>Note</p> <p>User can change the value of the the Collecting Bank for only those bills where Operation Type is COL.</p>	
Collecting Bank Reference Number	Collecting Bank reference number will be auto-populated from the collection booking.	
Finance Amount	<p>Finance Amount will be auto-populated from the collection booking.</p> <p>User can update the Finance Amount, if the operation if the operation is set to PUR or DIS.</p>	
Rebate Amount	<p>Read only field.</p> <p>Rebate to the bill outstanding amount is auto-populated from the collection booking.</p>	
Limit verification required	<p>Toggle On- Enables for limit verification.</p> <p>If the toggle is disabled and Finance amount has been provided, the system prompts an override message on Submit.</p>	
Customer Dispatch	<p>The value will be populated from back office based on the maintenance.</p> <p>Toggle On: If the toggle is set to Yes, the customer has the option to dispatch the documents directly to the importer’s bank.</p> <p>Toggle Off: If the toggle is set to No, the bank has to dispatch the documents to the importer’s bank.</p> <p>Bank user is not allowed to edit the field, if the value defaulted from the back office is “No”,</p>	

Field	Description	Sample Values
Avalization/Co-Acceptance Requested	<p>Enable this option, if the bill is to be avalized.</p> <p>User can enable this option either during the Bill Booking or Amendment. During bill booking, the option can be enabled both INTIAL/FINAL stage and Operation is COL/ACC /DIS</p> <p>During the Amendment, stage should be Final.</p> <p>By default this option is disabled.</p> <p>Once the option is enabled, it can not be unchecked during any further actions or operations.</p> <p style="text-align: center;"> Note</p> <p>Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.</p>	
Avalized/Co-Accepted	<p>Enable this option, if the bill is Avalized/Co Accepted by Collecting Bank.</p> <p>If the “Avalization/Co-Acceptance Requested” flag is not selected, user cannot select the “Avalized/Co-Accepted” flag.</p> <p style="text-align: center;"> Note</p> <p>Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.</p>	

Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
View Collection	Enables user to view the details of the collection.	
Events	On click, system will display the details of collection and liquidations if any, in chronological sequence.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	
Action Buttons		
Submit	On submit, task will move to next logical stage of Import Documentary Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Document Linkage

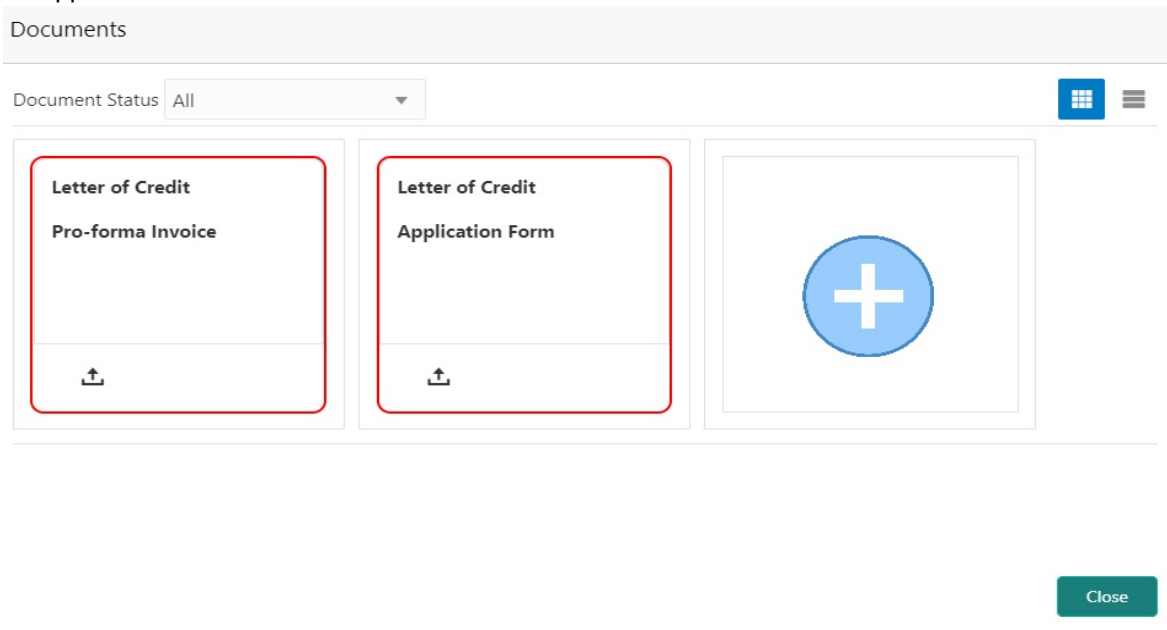
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	

Field	Description	Sample Values
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *
032204

Document Type *
Documentary Collection

Document Id

Document Code *
Insurance Policy

[Fetch](#)

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

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[Close](#)

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id: 2400

Application Reference Number: PK2ILCI000019041

Document Type Id: TFPM_DOCTYPE001

Remarks: [Empty]

Document Title: wqwq

Entity Reference Number: PK2ILCI000019041

Document Description: [Empty]

Document Expiry Date: Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update Cancel

Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
 3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also

Data Enrichment

On successful completion of Registration of an Export Documentary Collection Update, the task moves to Data Enrichment stage. At this stage the gathered information during registration are validated.



For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and the text "Sign In". Below the header, there are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot displays the FuTura Bank dashboard. The left sidebar contains navigation options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area is titled "Dashboard" and shows several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name" and "Application Date".
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority".
- SLA Status:** A dropdown menu currently set to "Cucumber Testing".
- Tasks Detailed:** A dropdown menu currently set to "Cucumber Testing".
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name".

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number
Acquire & Edit		Export Documentary Up...	000EDCU000029610	DataEnrichment	1586771490606	000	000009
Acquire & Edit	H	Import Documentary- B...	300IDCB000029139	Registration	70-01-01	300	001506
Acquire & Edit	H	Export LC Advising	000ELCA000029141	Registration	70-01-01	000	001183
Acquire & Edit	H	Import Documentary- B...	300IDCB000029622	DataEnrichment	70-01-01	300	001506
Acquire & Edit	H	Import Documentary- B...	300IDCB000029137	Registration	70-01-01	300	001506
Acquire & Edit	M	Import LC Issuance	000ILCI000029136	Registration	70-01-01	300	000863
Acquire & Edit	M	Export LC Advising	000ELCA000029134	Registration	70-01-01	000	001183
Acquire & Edit		Import Documentary- B...	300IDCB000029133	Registration	70-01-01	000	001507
Acquire & Edit	M	Export LC Advising	000ELCA000029132	Registration	70-01-01	000	001183

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number
Acquire & Edit		Export Documentary Up...	000EDCU000029610	DataEnrichment	1586771490606	000	000009
Acquire & Edit	H	Import Documentary- B...	300IDCB000029139	Registration	70-01-01	300	001506
Acquire & Edit	H	Export LC Advising	000ELCA000029141	Registration	70-01-01	000	001183
Acquire & Edit	H	Import Documentary- B...	300IDCB000029622	DataEnrichment	70-01-01	300	001506
Acquire & Edit	H	Import Documentary- B...	300IDCB000029137	Registration	70-01-01	300	001506
Acquire & Edit	M	Import LC Issuance	000ILCI000029136	Registration	70-01-01	300	000863
Acquire & Edit	M	Export LC Advising	000ELCA000029134	Registration	70-01-01	000	001183
Acquire & Edit		Import Documentary- B...	300IDCB000029133	Registration	70-01-01	000	001507
Acquire & Edit	M	Export LC Advising	000ELCA000029132	Registration	70-01-01	000	001183

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to update the registered task.

My Tasks

Action	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Customer Number
Edit		Export Documentary Up...	000EDCU000029469	000EDCU000029469	DataEnrichment	70-01-01	000	000009

The Data Enrichment stage has six sections as follows:

- Main Details
- Document Details
- Maturity Details
- Other Details

- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Updated Details
- Summary

Let's look at the details for Data enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Bill Amount**, **Customer Reference Number**, **Priority** and **Submission Mode**. Refer to [Application Details](#) for more information of the fields.

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.



Note
In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Request Clarification	<p>User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.</p>	

Document Details

This section enables the user to capture the details of the documents received.

Export Documentary Collection Booking Update
DataEnrichment :: Application No:- 032EDCU000001644

Clarification Details
Documents
Remarks
Overrides
Customer Instruction
Common Group Messages
Incoming Message
View Collection
Signatures

- Main Details
- Document Details
- Maturity Details
- Other Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Updated Details
- Summary

Document Details
Screen (2 / 10)

Documents Details

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
AIR		Air way Bill Docs	1	1	1	Aug 1, 2023	✎ 🗑
BOL		Bill of Lading	2		1	Aug 1, 2023	✎ 🗑
PACKINGLIST		PACKINGLIST	3	1			✎ 🗑

Audit

Request Clarification
Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Capture the information based on the description in the following table:

Field	Description	Sample Values
Document Code	Select the document code from the LOV based on the document received. User can add or delete the code by deleting the line on the grid.	
Document Type	System will populate the document type based on the document code.	
Document Description	System will populate the document description based on the document code. User can view the description by clicking the link.	
Document Reference	Enables the user to capture the document reference.	
Copies Received	Provide the number copies received from the Drawer. User can edit the actual copies received.	
Originals Received	Provide the number of original documents received from the Drawer. User can edit the actual originals received.	
Document Date	User can edit the date on which the document is received. On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.	
Action	Click Edit icon to edit the document code/ document date. Click Delete icon to delete the document code.	

Maturity Details

Export Documentary Collection Booking Update
DataEnrichment :: Application No:- 032EDCU000001644

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View Collection Signatures

Main Details Document Details **Maturity Details** Other Details Additional Fields Advices Additional Details Settlement Details Updated Details Summary

Maturity Details Screen (3 / 10)

Draft Details

Draft Code	Draft Amount	Action
No data to display.		

Maturity and Multi Tenor Liquidation Details

S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Days	Maturity Date	Bill Amount	Liquidated Amount	Exchange Rate	Liquidation Date	Liquidation Amount	Rebate
1	ID		Aug 3, 2023	60	0	Oct 2, 2023						AED

Interest From Date: Aug 3, 2023
Interest To Date: Oct 2, 2023
Acceptance Commission From Date: Aug 3, 2023
Acceptance Commission To Date: Oct 2, 2023

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Draft Details


Update the draft details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

Maturity and Multi Tenor Liquidation Details

Update the maturity details based on the description in the following table:

Field	Description	Sample Values
In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.		
SNo.	Serial number of the tenor record.	
Tenor Basis	Update the tenor basis, if the tenor is not sight.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.	
Start Date	Update the tenor start date, if required.	
Tenor Days	Update the number of tenor days, if required	
Transit Days	Update the transit days, if the tenor is sight.	

Field	Description	Sample Values
Maturity date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p> <p> Note</p> <p>In case if the Operation Type is FOP, Due date (Maturity date) is not applicable in this case and current branch date will be populated in the Maturity date field.</p>	
Bill Amount	Provide the bill amount.	
Liquidated Amount	Provide the liquidation amount.	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	Provide the bill amount.	
Action	<p>Click Edit icon to edit the tenor record.</p> <p>Click Delete icon to delete the tenor record.</p>	
Interest From Date	Update the interest From date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest To Date	Update the interest To date. The interest from date cannot be earlier than branch date and later than maturity date.	
Accept Commission From Date	The accept commission from date.	
Accept Commission To Date	The accept commission to date.	

Other Details

Other Details enables the user to capture details like Credit Value Date, Debit Value Date, Other Bank charges etc.

The screenshot shows the 'Other Details' section of the Oracle system. It includes a navigation menu on the left with options like 'Main Details', 'Document Details', 'Maturity Details', and 'Other Details'. The main area is divided into three sections: 'Other Details' with fields for Debit Value Date, Credit Value Date, and Value Date; 'Other Bank Charges' with three charge categories and their descriptions; and 'Other Bank Interest' with three interest categories, each having fields for Component, Description, Interest Rate, Interest Basis, and Waive. The top of the screen shows the application title and various tabs like 'Clarification Details', 'Documents', etc.

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Description - 1	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	

Field	Description	Sample Values
Other Bank Description -2 to 3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	

Other Bank Interest

The user can enter the Interest details to be captured as a part of “Other Bank Interest” details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest.	
Other Bank Interest-1, 2 and 3		
Component	This field displays the name of the interest Component.	
Component Description	Provide the description of the interest component.	
Interest Rate	Provide the rate to be applied for the interest component.	
Interest Basis	Select the calculation basis on which the Interest to be computed.	
Waive	Select whether the interest to be waived off. The options are: <ul style="list-style-type: none"> • Yes • No 	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.



Note

In case of Operation Type is FOP, Advice should be generated for the Drawer (Mail Advice) and the Collecting Bank (MT499). In Advices, SWIFT 499 – FREEOFFPAYMENT_ADVICE user should attach the FOP instruction and system should validate the same.

Advice : ACKNOWLEDGE...	Advice : REMITTANCE_LTR	Advice : PAYMENT_MESS...
Advice Name : ACKNOWLEDGEMENT	Advice Name : REMITTANCE_LTR	Advice Name : PAYMENT_MESSAGE
Advice Party : DRAWER	Advice Party : ISSUING BANK	Advice Party :
Party Name : GOODCARE PLC	Party Name : CITIBANK IRELAND	Party Name :
Suppress : NO	Suppress : NO	Suppress : NO
Advice	Advice	Advice

Additional Details

Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFM) and should Earmark the limit from the Back office.


In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit Details
✕

<p>Customer Id 001044</p> <p>Contribution % * 1.0</p> <p>Contribution Currency GBP</p> <p>Limit/Liability Currency GBP</p> <p>Limit Check Response Available</p> <p>Expiry Date</p> <p>Response Message The Earmark can be performed as the f</p>	<p>Linkage Type * Facility</p> <p>Liability Number * PK2LIAB01</p> <p>Line Id/Linkage Ref No * PK2L01SL1</p> <p>Limits Description</p> <p>Amount to Earmark * AED 100.00</p> <p>Limit Available Amount £999,999,903.89</p> <p>ELCM Reference Number</p>
---	---

Verify Save & Close Close


Field	Description	Sample Values
	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	

Field	Description	Sample Values
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  <p>Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Amount to Earmark	Amount to earmark will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	

Field	Description	Sample Values
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Cash Collateral Details		


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	

Field	Description	Sample Values
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".</p>	
Account Balance Check Response	<p>Read only field.</p> <p>System populates the Account Balance Check Response on clicking the Verify button.</p>	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Customer Id
032204

Deposit Branch
032

Deposit Maturity Date
Apr 2, 2023

Deposit Available In Transaction Currency
AED 31,323.00

Linkage Amount(Transaction Currency) *
AED 4,500.00


Deposit Account
032CD01230310001

Deposit Available Amount
AED 31,323.00

Exchange Rate
1

Linkage Percentage % *
45.0

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	

Field	Description	Sample Values
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the Deposit Details grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	

Charge Details

This section displays charge details:

Charge Details
✕

Recalculate
Redefault

▲ Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										

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▲ Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close
Close

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	

Field	Description	Sample Values
Rate	<p>Defaults from product.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Currency	<p>Defaults the currency in which the commission needs to be collected</p>	
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	<p>From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.</p>	
Defer	<p>If check box is selected, charges/commissions has to be deferred and collected at any future step.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p>	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	<p>Charge party will be 'Drawer' by Default. You can change the value to Drawee.</p>	

Field	Description	Sample Values
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be Drawer by default. You can change the value to Drawee.	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if applicable.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Payment Details

PaymentDetails

Auto Liquidate
 Allow Rollover
 Auto Change from Acceptance to Advance
 Liquidate using Collateral

Outstanding Collateral Amount: GBP
 Protest Date:
 Split Settlement
 AVALIZATION:

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Reference Number
AMT_PURCHASED	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP			
AMT_PURCHASEDEQ	GBP	Credit	PK20010440017	GOODCARE PLC	PK2	GBP			
BILL_LIQ_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP			
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	PK2	GBP			

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Split Settlement

Component	Contract Currency	Amount
BILL_LIQ_AMTEQ	GBP	0

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Split Settlement Details


[Fetch Exchange Rate](#)

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Original Exchange Rate	Exchange Rate	Deal Reference Number	Action
1		PK1000332018	000332	GBP	PK1				

Page 1 of 1 (1 of 1 items) | < 1 >

[Save & Close](#) [Close](#)

Select the payment details options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Allow Roll over	Select Allow Rollover check box, if required.	
Auto Change from Acceptance to Advance	Select Auto Change from Acceptance to Advance, if required. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date. <div style="text-align: center;">  Note This option is applicable only for the bills that are co-accepted by the bank. </div>	
Liquidate using Collateral	Read only field.	

Field	Description	Sample Values
Outstanding Collateral Amount	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Protest Date	Select the Protest Date.	
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Avalization	Read only field. This flag indicates whether availization is enabled or not.	
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the settlement.	
Deal Reference Number	The exchange deal reference number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Account	Account for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the split settlement.	
Deal Reference Number	The exchange deal reference number.	
Action	Click Edit icon to edit the split settlement details record. Click Delete icon to delete the split settlement details record.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.

- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.
- Provide the FX linkage detail based on the description in the following table.

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
032FXF2232155502	AED	USD	AED 222,999.99	1.4	AED 100,000.00	AED 0.00	Jan 31, 2025	

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Average FX Rate
0

FX Linkage ✕

<p>FX Reference Number * <input type="text" value="032FXF2230890501"/></p> <p>Contract Amount AED <input type="text" value="AED 149,999,998.50"/></p> <p>Linkage Amount * AED <input type="text" value="AED 27,000.00"/></p> <p>FX Amount in Local Currency GBP <input type="text" value="£149,999,998.50"/></p> <p>FX Delivery Period From <input type="text"/></p>	<p>Currency <input type="text" value="AED"/></p> <p>Available FX Contract Amount AED <input type="text" value="AED 149,873,698.50"/></p> <p>Rate <input type="text" value="1.5"/></p> <p>FX Expiry Date <input type="text" value="Dec 30, 2025"/></p> <p>FX Delivery Period To <input type="text"/></p>
---	--

Provide the FX linkage detail based on the description in the following table

Field	Description	Sample Values
-------	-------------	---------------

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Currency	<p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	

Field	Description	Sample Values
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

Pre-Shipment Credit

Liquidation of pre-shipment credit is applicable only is the operation type is PUR or DIS.

Pre-Shipment Details ✕

PreShipment Details +

Loan Account Number	Currency	Outstanding Amount	Action
		120	✎ 🗑️

Page 1 of 1 (1 of 1 items) ⏪ < 1 > ⏩


Setteled Loan

Sequence Number	Loan Account Number	Currency	Outstanding Amount	Event	Settled Amount
No data to display.					

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

Save & Close Close

Provide the pre-shipment credit details based on the description in the following table:

Field	Description	Sample Values
Loan Account Number	Provide the loan account number. Alternatively, user can search the loan account Number in the LOV. <div style="text-align: center;">  Note If there are any pre-shipment credits available for the selected pre-shipment credit number, application will display message: There are earlier pre-shipment credit/s. Do you want to continue? </div>	
Currency	Read only field. Application defaults the currency based on the selected pre-shipment credit number.	
Outstanding Amount	Read only field. Application defaults the outstanding amount based on the selected pre-shipment credit number.	
Settled Loan		
Sequence Number	This field displays the sequence of the settled loan.	
Loan Account Number	This field displays the pre-shipment Loan Account number.	
Currency	This field displays the currency of the pre-shipment loan account number.	

Field	Description	Sample Values
Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Event	This field displays the event.	
Settled Amount	This field displays the settled amount.	

Tracers






This section enables the user to view the default Tracer details from back end application. It also allows the user to add new Tracer details. Add new Tracer details based on the description in the following table:




Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Field	Description	Sample Values
Tracer Required	Select if tracer is required or nor from the available drop list: <ul style="list-style-type: none"> • Required • Not-required • Toggle On: Required • Toggle Off: Not required. 	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	Provide the frequency for generation of the Tracer.	



Note

Field	Description	Sample Values
Tracer Medium	<p>Select the tracer medium from the LOV.</p> <ul style="list-style-type: none"> • MAIL • EMAIL • SWIFT  <p>Note</p>	
Tracer Receiver Party	<p>Select the tracer receiver party from the LOV.</p>  <p>Note</p>	
Tracer Start Date	<p>Select the tracer start date. Start date cannot be earlier than the branch date.</p>  <p>Note</p>	
Acceptance Tracer		
Tracer Required	<p>Select if tracer is required or nor from the available drop list:</p> <ul style="list-style-type: none"> • Toggle On: Required <p>Toggle Off: Not required.</p>	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	<p>Provide the frequency for generation of the Tracer.</p>  <p>Note</p>	
Tracer Medium	<p>Select the tracer medium from the LOV.</p> <ul style="list-style-type: none"> • MAIL • EMAIL • SWIFT  <p>Note</p>	

Field	Description	Sample Values
Tracer Receiver Party	Select the tracer receiver party from the LOV.  Note	
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.  Note	
Template ID	Click Search to search and select the template ID.  Note This field is applicable for Acceptance Tracer.	

Interest Details

The user can view and modify the Interest Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this section.

Interest Details
×


Recalculate
Redefault

Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Interest
No data to display.													

Save & Close
Cancel

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> • Fixed Floating • Special 	
Rate Code	This field displays the rate code applicable for the Component.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	

Field	Description	Sample Values
Special Pricing Reference Number	<p>User can capture the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component).</p> <p>Special Pricing Reference is not applicable for Penal Interest components.</p> <p>For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.</p> <p> Note</p> <p>System shows an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.</p>	
Currency	System defaults the Interest currency in this field.	
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	<p>System displays the Charge Party based on the type of transaction.</p> <p>In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..</p>	
Settlement Account	<p>System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.</p> <p>In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).</p>	

Field	Description	Sample Values
Settlement Currency	System defaults the currency of the Settlement Account as maintained for the Charge Party.	
Settlement Branch	System defaults the branch of the Settlement Account as maintained for the Charge Party.	

Preview Message

User can view the draft message being displayed on the preview message text box.

Preview - SWIFT Message
Preview - Mail Advice

Language: English

Message Type:

Message Status:

Repair Reason:

Preview Message:

Language: English

Advice Type: CLOSURE_ADVICE

Message Status:

Repair Reason:

Preview Message:

```

DRAWER REFERENCE : NONE
DRAWER COUNTRY  : UNITED ARAB EMIRATES

BILL AMOUNT      : AED 10,000.00
  AMOUNT IN WORDS : Ten Thousand
BILL CURRENCY    : UAE Dirham
TENOR OF BILL    : Sight
TENOR DAYS OF BILL : SIGHT
BILL MATURITY DATE : 14-AUG-23
CLOSURE REASON   : <TM11 ATTN

```

Save & Close Close

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

Linked Loan Details

This user can view the details of linked loan accounts.

Loan Account	Loan Currency	Loan Amount
No data to display.		

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Loan Account	The details of the linked loan account.	
Loan Currency	Loan Currency of the linked loan account.	

Field	Description	Sample Values
Loan Amount	Loan amount of the linked loan account.	

Settlement Details

The screenshot shows the Oracle Settlement Details interface. The main table lists settlement components with the following columns: Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, Current Event, Original Exchange Rate, and Exchange Rate. The table contains 12 rows of data.

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate
AMT_PURCHASED	GBP	Debit	PK1000325025	NATIONAL FREIGHT	GBP	No	No		
AMT_PURCHASEDEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes		
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes		
BCSWFT_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes		
BILL_AMND_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No		
BILL_LIQ_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT	GBP	No	No		
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No		
COLL_LIQ_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT	GBP	No	No		
COLL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No		
EBC_PUR_IN_ADJ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No		

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Updated Details

This section enables the user to view the details of the updated fields along with the old values for the Data Enrichment user to compare.

The screenshot displays the Oracle Data Enrichment interface for an 'Export Documentary Collection Booking Update'. The 'Updated Details' section is active, showing a comparison of updated values against original values. The interface includes a navigation menu on the left with options like Main Details, Document Details, Maturity Details, Other Details, Additional Fields, Advices, Additional Details, Settlement Details, Updated Details, and Summary. The top toolbar contains buttons for Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Common Group Messages, Incoming Message, and View Collection. The main content area is divided into several sections:

- Updated Details:** A table with columns for Field Name, Updated Value, and Value as per Bill Booking. It lists Debit Value Date and Credit Value Date, both updated to 2023-08-03.
- Party Details:** A table with columns for Party Type, Party ID, Party Name, Customer Ref No, Address1, Address2, Country, Status, and a Modify button. It lists three parties: Abu Dhabi Islamic Bank (USA), Abu Dhabi Islamic Bank (US), and Aldar Properties (GB).
- Fx Linkage:** A table with columns for FX Reference Number, Linkage Amount, Contract Amount, Contract Available Amount, and Status. It shows 'No data to display.'
- Loan Preference:** A table with columns for Product Code, Loan Amount, Credit Line, Loan Tenor, Loan Tenor Units, and Status. It shows 'No data to display.'
- Tracer Details:** A table with columns for Tracer Type, Tracer Required, Tracer Received Party, Tracer Medium, Tracer Frequency, Status, and a Modify button. It lists Acceptance and Payment tracers.
- Document Details:** A table with columns for Document Code, Document Name, Copy, Original, Description, Status, and Clause Details. It lists OTHDOC and SHPGUAR documents.

At the bottom of the interface, there is a toolbar with buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Summary

User can review the summary of details updated in Data Enrichment stage of Export Documentary Collection Update request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot displays the Oracle application interface for 'Export Documentary Collection Booking Update'. The main content area is titled 'Summary' and is divided into a grid of 16 data segments. A left-hand navigation menu lists various detail sections, with 'Summary' currently selected. The top of the interface includes the Oracle logo, user information (ZARTAB01), and a series of action buttons like 'Clarification Details', 'Documents', etc. The bottom of the screen features a row of buttons including 'Audit', 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next', and 'Submit'.

Main Details	Document Details	Maturity Details	Additional Fields
Booking Date : 2023-08-03 Submission Mode : Desk Bill Amount : AED 100	Document 1 : SHPGUAR	Tenor Type : Sight Tenor Basis : Maturity Date : 2023-08-05	Click here to view : Additional fields
Advices	Limits and Collaterals	Commission, Charges and taxes	Pre Shipment Details
Advice 1 : AMNDMNT_OF_I... Advice 2 : ACKNOWLEDGEM... Advice 3 : PAYMENT_MESS...	Contribution Currency : Amount to Earmark : null Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified Deposit Linkage CCY : Deposit Linkage Amount :	Charge : Commission : Tax : Block Status : Not Initiated	Preshipment Credit : OutstandingAmount : RepayAmount :
Preview Messages	Payment Details	Tracer Details	FX Linkage
Language : ENG Preview Message : -	Advance by Loan : No Allow Rollover : No Liquidate using Collateral : No	Payment Tracer : No Acceptance Tracer : No Confirmation Tracer : No Charge Tracer : No Acknowledgement Tracer :	Reference Number : Linkage Amount : Contract Currency :
Settlement Details	Updated Details	Parties Details	Compliance details
Component : BILL_AMND_AM... Account Number : 0322040001 Currency : AED	Click here to view : Amended/Updated Details	Drawer : Air Arabia Drawee : Aldar Proper...	KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...
Accounting Details	Other Details	Linked Loan Details	Interest Details
Event : AccountNumber : Branch :	Value Date : 2023-08-03 Debit Value Date : 2023-08-03 Credit Value Date : 2023-08-03	loanAcc : Loan Currency : Loan Amount :	Component : Amount : Event :

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the document details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view the limit and collateral details.
- Commission, Charges and Taxes - User can view the commission, charge and taxes details.
- Pre-shipment Details - User can view the pre-shipment details.
- Preview Message - User can view the draft preview message.
- Payment Details - User can view the payment details.
- Tracer Details - User can view the tracer details.
- FX linkage Details - User can view the FX linkage details.
- Settlement Details - User can view the settlement details.
- Updated Details - User can view the updated details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view the compliance details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Linked Loan Details - User can view the linked loan details.
- Other Details - User can view the other details.
- Interest Details - User can view the interest details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none">• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Field	Description	Sample Values
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	
Submit	<p>Task will move to next logical stage of Export Documentary Collection Update.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Back	Task moves to previous logical step.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Exceptions

The Export Collection Update request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R4- Insufficient Balance/Limits● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export Collection Update Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block.

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Field	Description	Sample Values
Cancel	Cancel the Export Collection Booking KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Export Collection Booking Limit exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Bill Currency Code
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot shows the Oracle application interface. On the left is a navigation menu with categories like Core Maintenance, Dashboard, File Management, etc. The main area displays a table of tasks with columns for Edit, Priority, Process Name, Process Reference Num, Application Num, Stage, Application Date, Branch, and Customer Num. A modal window titled 'Approval Rekey' is open, showing fields for 'Bill Amount' (AED 100.00) and 'Bill Currency Code' (AED), both with green checkmarks. At the bottom of the modal are buttons for 'Refer', 'Close', and 'Proceed'.

Summary

The screenshot shows the Oracle application interface displaying a detailed summary for an 'Export Documentary Collection Booking Update'. The summary is organized into several sections:

- Main Details:** Booking Date: 2023-08-03, Submission Mode: Desk, Bill Amount: AED 100.
- Maturity Details:** Tenor Type: Sight, Maturity Date: 2023-08-05.
- Additional Fields:** Includes a link to view details and a field for Language: ENG.
- Advises:** Advice 1: AMNDMNT_OF_I..., Advice 2: ACKNOWLEDGEM..., Advice 3: PAYMENT_MESS...
- Limits and Collaterals:** Contribution Currency: null, Limit Status: Not Verified, Collateral Currency: null, Collateral Contr.: null, Collateral Status: Not Verified, Deposit Linkage CCY: null, Deposit Linkage: null.
- Commission, Charges and taxes:** Charge: null, Commission: null, Tax: null, Block Status: Not Initiated.
- Pre Shipment Details:** Preshipment Credit: null, OutstandingAmount: null, RepayAmount: null.
- Preview Messages:** Language: ENG, Preview Message: -.
- Payment Details:** Advance by Loan: No, Allow Rollover: No, Liquidate using Collateral: No.
- Tracer Details:** Payment Tracer: No, Acceptance Tracer: No, Confirmation Tracer: No, Charge Tracer: No, Acknowledgement Tracer: No.
- FX Linkage:** Reference Number: null, Linkage Amount: null, Contract Currency: null.
- Settlement Details:** Component: BILL_AMND_AM..., Account Number: 0322040001, Currency: AED.
- Updated Details:** Includes a link to view details and a field for Amended/Updated Details.
- Parties Details:** Drawer: Air Arabia, Drawee: Aldar Proper...
- Compliance details:** KYC: Verified, Sanctions: Verified, AML: Verified.
- Linked Loan Details:** loanAcc: null, Loan Currency: null, Loan Amount: null.
- Interest Details:** Component: null, Amount: null, Event: null.
- Accounting Details:** Event: null, AccountNumber: null, Branch: null.
- Exception(Approval):** EXCEPTION: Nil.

At the bottom of the summary, there are buttons for 'Audit', 'Reject', 'Hold', 'Refer', 'Cancel', and 'Approve'.

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view limits and collateral details
- Commission, Charges and Taxes - User can view the commission, charge and taxes details.
- Pre Shipment Details - User can view the Pre Shipment details.
- Preview Messages - User can view the preview message.
- Payment Details - User can view the Payment details.
- Tracer Details - User can view the tracer details.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Updated Details - User can view the updated details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view the compliance details.
- Linked Loan Details - User can view the linked loan details.
- Interest Details - User can view the interest details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.Action Buttons

- Exception (Approval) - User can view the exception (approval) details.

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.

- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

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